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#2

PATENT
STL920000095US1
0055.0040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:		)	Examiner: Not Yet Assigned
J.J. Lin et al.		)	
Serial No.:	09/894,413	)	
Filed:	June 28, 2001	)	Art Unit: 2152
For:	METHOD, SYSTEM, AND PROGRAM FOR USING OBJECT IN DATA STORES DURING EXECUTION OF A WORKFLOW	)	

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Assistant Commissioner of Patents
Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application. Applicants submit that no fee is needed nor should be charged with the submittal of this Information Disclosure Statement (IDS) because this IDS is being submitted under 1.97(b) in that the IDS is being filed before the mailing date of a first Office Action on the merits or within three months of the filing date of a national application other than a continued prosecution application under 1.53(d). Applicants submit that a first Office Action has not been received in the above referenced case.

Nonetheless, should any fee be deemed necessary, please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,

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Dated: December 13 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on December 13, 2001.

David W. Victor — 12-13-01 (Date)

FORM PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

OIPE JAN 0 3 2002 PATENT & TRADEMARK OFFICE

Docket Number	Application Number
STL920000095US1	09/894,413
Applicant	
JJ Lin et al.	
Filing Date	**Group Art Unit**
June 28, 2001	2152

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROP.
	5 3 0 1 3 2 0	04/05/94	McAtee et al.			
	5 5 3 5 3 2 2	07/09/96	Hecht			
	5 5 7 2 6 7 3	11/05/96	Shurts			
	5 5 9 6 7 4 4	01/21/97	Dao et al.			
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	5 7 2 1 9 1 2	02/24/98	Stepczyk et al.			
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	5 7 3 4 8 3 7	03/31/98	Flores et al.			
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	5 7 7 4 6 6 1	06/30/98	Chatterjee et al.			
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	5 8 2 6 2 3 9	10/20/98	Du et al.			
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	5 8 7 3 0 8 3	02/16/99	Jones et al.			
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	5 9 2 6 6 3 6	07/20/99	Lam et al.			
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES	Translation NO
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FORM PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

OIPE JAN 0 3 2002 PATENT & TRADEMARK OFFICE

Docket Number	Application Number
STL920000095US1	09/894,413
Applicant JJ Lin et al.	
Filing Date June 28, 2001	**Group Art Unit** 2152

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OTHER DOCUMENTS ***(Including Author, Title, Date, Pertinent Pages, Etc.)***

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	Galtzur, Z. et al. "Managing Workflow Using Database Techniques", IBM Technical Disclosure Bulletin, Vol. 39, No. 08, August 1996, pp. 199-201.
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	Vossen, G. "The WASA2 Object-Oriented Workflow Management System", 1999, ACM # 1-58113-084-8/99/05, pp. 587-589

EXAMINER	**DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.